FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
704117.4008	Not Yet Assigned
APPLICANT:	·
Richard S. Ginn	
FILING DATE:	PREVIOUS GROUP:

3738

Herewith (1/2/2004)

	U.S. PATENT DOCUMENTS					
EXAMINER INITAD	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
35	3,683,655	8/15/1972	White, et al.			
	3,757,783	9/11/1973	Alley			
	5,306,234	4/26/1994	Johnson			
	5,855,601	1/5/1999	Bessler, et al.			
	5,588,424	12/31/1996	Insler, et al.			
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	5,707,352	1/13/1998	Sekins, et al.			
	5,904,648	5/18/1999	Arndt, et al.			
	5,954,636	9/21/1999	Schwartz, et al.	,		
	6,003,517	12/21/1999	Sheffield, et al.			· · · · ·
	6,020,380	2/1/2000	Killian			
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	6,174,323 B1	1/16/2001	Biggs, et al.			· · · · · · · · · · · · · · · · · · ·
	6,258,100 B1	7/10/2001	Alferness, et al.			
	Published 2002/0112729 A1	08/22/2002	DeVore et al.			
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DOCSOC1:145905.1	Ļ
704117-4008 J2GOC-89658.1EXAMINE	şλ
Not yet assigned	

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

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Information Disclosure Statement – Section 9 PTO-1449

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Herewith (1/2/2004)

3738

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	NO NO
`				•				

~	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
8	Clifton A. Alferness, et al., EPO Publication No. EP 1 078 601 A2, "Lung Volume Reduction Apparatus", 2.28/2001.
· \	T. Sabanathan, PCT Publication No. WO 98/48706, "Occlusion Device", 11/5/1998. C. Danek, et al., PCT Publication No. WO 00/62699, "Modification of Airways by Application of Energy", 10/26/2000.
	Rodney A. Perkins, et al., PCT Publication No. WO 01/02042 A1, "Methods, Systems, and Kits for Lung Volume Reduction", 1/11/2001.
6	Clifton A. Alferness, et al., PCT Publication No. WO 01/13839 Amendment, "Lung Reduction Device, System, and Method, 3/1/2001.
	Edward P. Ingenito, PCT Publication No. WO 01/13908 A2, "Tissue Volume Reduction", 3/1/2001.

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